

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
9 December 2004 (09.12.2004)

PCT

(10) International Publication Number  
WO 2004/106458 A1

(51) International Patent Classification<sup>7</sup>: C09K 11/06, H01L 51/30

(21) International Application Number: PCT/US2004/015505

(22) International Filing Date: 17 May 2004 (17.05.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 60/472,288 21 May 2003 (21.05.2003) US

(71) Applicant (for all designated States except US): DOW GLOBAL TECHNOLOGIES INC. [US/US]; Washington Street, 1790 Building, Midland, MI 48674 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): KRAM, Shari, L. [US/US]; 2812 Blairmont Drive, Midland, MI 48642 (US). MARSHALL, William, B. [US/US]; 3101 Shreeve Street, Midland, MI 48642 (US). O'BRIEN, James, J. [US/US]; 5002 Highbridge Court, Midland, MI 48640 (US). PICKERING, Todd, A. [US/US]; 514 E. Chapel Lane, Midland, MI 48642 (US). WU, Welshi [US/US]; 5413 Tyler Street, Midland, MI 48642 (US).

(74) Agent: WILLIS, Reid, S.; The Dow Chemical Company, Intellectual Property, P.O. Box 1967, Midland, MI 48641-1967 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AB, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, HE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KB, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NL, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CR, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report  
— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: BLEND OF VISCOSITY MODIFIER AND LUMINESCENT COMPOUND

(57) Abstract: The present invention relates to a composition comprising a blend of a luminescent polymer and a low molecular weight (<5000 amu) viscosity modifier, which has an emission maximum in the range of 350-480 nm, contains no exocyclic double bonds, and modifies the viscosity of the polymer without substantially altering emissive properties of the neat polymer. Modification of viscosity without concomitant modification of emissive properties is desirable where the polymer is already tuned to emit at the desired wavelength.

WO 2004/106458 A1

Lost Available Copy

## BLEND OF VISCOSITY MODIFIER AND LUMINESCENT COMPOUND.

The present invention relates to a blend of a viscosity modifier and a luminescent compound.

Luminescent polymers find use in a number of applications including roll-to-roll, 5 screen, and ink jet printing; spin, dip, and spray coating; and "doctor blading." While all of these applications may require materials that emit at particular wavelengths, they often widely differ in their viscosity requirements. Thus, an emitting luminescent polymer that is useful in both roll-to-roll and ink jet applications may require a high viscosity (100 cps) for the former application and a relatively low viscosity for the latter (10 cps).

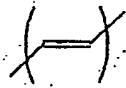
10. Viscosity modification of luminescent polymers is known. For example, in U.S. Patent 6,372,154, Li describes the use of low molecular weight functional additives to adjust the viscosity of luminescent inks (L-inks) that contain viscous luminescent polymers. These functional additives are described as possessing electron-transporting properties or hole-transporting properties that can be used to modify the charge 15 transporting abilities of the L-ink in addition to viscosity modification. However, it may be desirable to modify the viscosity of luminescent polymers without changing charge transporting properties, which may adversely affect light-emitting properties. Thus, if the luminescent polymer inherently emits at a desired wavelength, it would be disadvantageous to use a modifier that reduces viscosity but concomitantly shifts the 20 luminescent emission maximum of the blend to a wavelength substantially different from the optimal wavelength. Accordingly, it would be advantageous in the art of viscosity modification of luminescent polymers to discover modifiers that allow tuning of viscosity without substantially altering emissive properties of the neat polymer.

The present invention addresses the deficiencies in the art by providing a 25 composition comprising a blend of a) a luminescent polymer having a weight average molecular weight ( $M_w$ ) of at least 20,000 and b) a viscosity modifier that 1) is a solid at room temperature; 2) has a  $M_w$  of less than 5000; 3) has a luminescent emission maximum in the range of 350 to 480 nm; and 4) does not substantially diminish charge transporting properties of the combination of the modifier and the polymer; and 5) contains no 30 exocyclic conjugated double bonds; wherein the modifier and its concentration in the

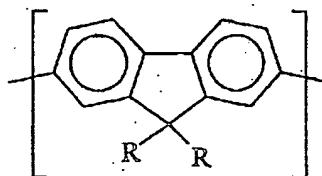
blend are selected so that the blend exhibits a luminescent emission maximum that is within 20 nm of the luminescent emission maximum of the polymer alone.

The blend of the claimed invention provides a way to tune viscosity without substantially altering the luminescent emission maximum of the neat polymer. This effect 5 is particularly advantageous where the neat polymer already possesses optimal luminescent emissive properties.

The present invention is a composition that is a blend of a luminescent polymer and a viscosity modifier. The luminescent polymer can be any polymer that is luminescent under UV radiation or under a suitable electric field bias. Preferably, the polymer is 10 luminescent under an electric field bias, that is, it is electroluminescent. Examples of luminescent polymers include those that contain structural units of: 9,9-disubstituted fluorenes including 9,9-dialkylfluorenes, 9,9-diarylfluorenes, and 9,9-aralkylfluorenes; 9- substituted fluorenes such as spirofluorenes; phenylenes including 2,5-dialkoxyphenylene and 2,5-dialkylphenylene; phenylenevinylenes including 2-methoxyl-5-(2'- 15 ethylhexyl)phenylenevinylene, 2,5-dioctyloxy-1,4-phenylenevinylene, 2-silyl-1,4- phenylenevinylene, 2,5-disilyl-1,4-phenylenevinylene and 2,5-dialkyl-1,4- phenylenevinylene; thiophenes; 3-alkylthiophenes; thiophenevinylenes; pyrroles; acetylenes; diacetylenes; aniline; N-vinylcarbazole, and combinations thereof. As used herein, the term, "structural unit" refers to the remnant of the compound after it undergoes 20 polymerization. Thus, a structural unit of a *trans*-acetylene is represented by the following structure:



Preferred luminescent polymers contain structural units of a 9,9-disubstituted 25 fluorene that is polymerized through the 2 and 7 carbon atoms, which structural unit is represented by the following structure:



structural unit of 9,9-disubstituted fluorene

where each R is independently alkyl, alkoxy, aryl, aryloxy, or aralkyl; preferably, C<sub>1</sub>-C<sub>20</sub> alkyl, C<sub>1</sub>-C<sub>20</sub>-alkoxy, substituted or unsubstituted phenyl, biphenyl, naphthalenyl, anthryl, 5 phenanthryl, thiienyl, or furanyl; and more preferably C<sub>4</sub>-C<sub>12</sub> alkyl, C<sub>4</sub>-C<sub>12</sub>-alkoxy, or substituted or unsubstituted phenyl or biphenyl.

The electroluminescent polymer more preferably contains structural units of a 9,9-disubstituted fluorene and structural units of at least one other comonomer. Examples 10 of polymers containing structural units of a 9,9-disubstituted fluorene and other comonomers can be found in U.S. Patents 5,708,130; 5,777,070; 6,169,163; and 6,363,083.

Examples of suitable comonomers include polymerizable substituted and unsubstituted thiophenes, dithiophenes, benzodithiazoles, oxazoles, oxadiazoles, benzoxazoles, dibenzofurans, benzothiophenes, dibenzothiophenes, dibenzosiloles, 15 benzidines including N,N,N'N'-tetraarylbenzidines, diarylamines, triarylamines, benzenes, biphenylenes, naphthalenes, anthracenes, phenanthrenes, styrenes, quinolines, and stilbenes. More preferred comonomers include benzidines, dithiophenes, and benzothiadiazoles.

The viscosity modifier that is used to make the blend is solid at room temperature. 20 It has a weight average molecular weight (M<sub>w</sub>) of less than 5000, preferably less than 2000 and a polydispersity of preferably less than 1.2, more preferably less than 1.1, most preferably 1.0. The luminescent emission maximum of the modifier is not greater than 480 nm and not less than 350 nm, more preferably not less than 400 nm. Consequently, the modifier has a wider band gap between the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO) than that of the emitting 25 chromophore of the electroluminescent polymer. It is believed that this comparatively

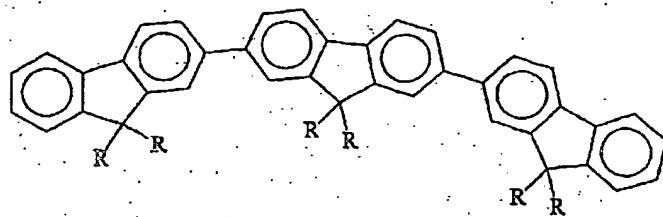
wide modifier band gap results in a substantial absence of shifting of luminescent emission maximum of the blend as compared to the polymer.

The modifier is selected so as not to substantially diminish charge transporting properties of the combination of the modifier and the polymer because such modifiers tend 5 to adversely affect the efficiency of the luminescent material. Examples of modifiers with such an adverse tendency are those that contain heteroatoms with delocalized unshared pairs of electrons such as N, O, and S atoms with delocalized unshared pairs of electrons. Thus, di-naphthalene-1-yl-diphenyl-biphenyl-4,4'-diamine ( $\alpha$ -NPA), which contains two nitrogen atoms with delocalized unshared pairs of electrons, would not be a suitable 10 modifier for the luminescent polymer.

The modifier is also selected so as not to contain exocyclic, that is, it contains no non-aromatic, conjugated double bonds because such compounds tend to oxidize readily. Thus, 1,1,4,4-tetraphenyl-1,3-butadiene (TPB) would also not be a suitable modifier for the luminescent compound.

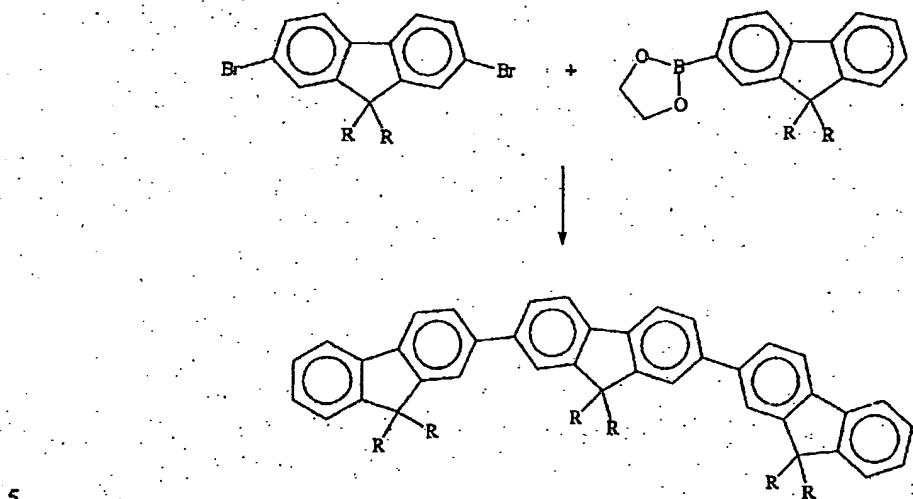
15 Furthermore, the selection of modifier and its concentration in the blend are such that the blend exhibits a luminescent emission maximum that is within 20 nm, preferably within 10 nm, more preferably within 5 nm, and most preferably within 1 nm of the luminescent emission maximum of the polymer alone. Preferably, the weight percent concentration of the modifier based on the weight of the modifier and the luminescent 20 polymer is at least 1 weight percent, more preferably at least 5 weight percent, and most preferably at least 10 weight percent; and preferably less than 60 weight percent, more preferably less than 50 weight percent, and most preferably less than 30 weight percent.

Examples of preferred modifiers are substituted and unsubstituted fluorene and blends thereof; fluorene oligomers such as mono-, bis-, and tris-9,9-disubstituted fluorenes 25 and blends thereof; fluorenylidenes and blends thereof, and trifluorenylbenzenes and blends thereof. An example of a tris-9,9-disubstituted fluorene is illustrated by the following structure:



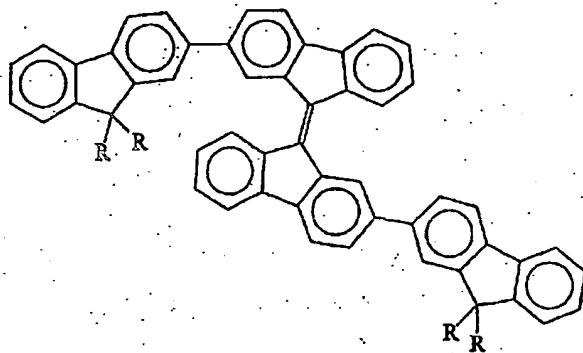
where R is previously defined.

The tris-9,9-disubstituted fluorene can be prepared, for example, by a Suzuki coupling reaction as shown:

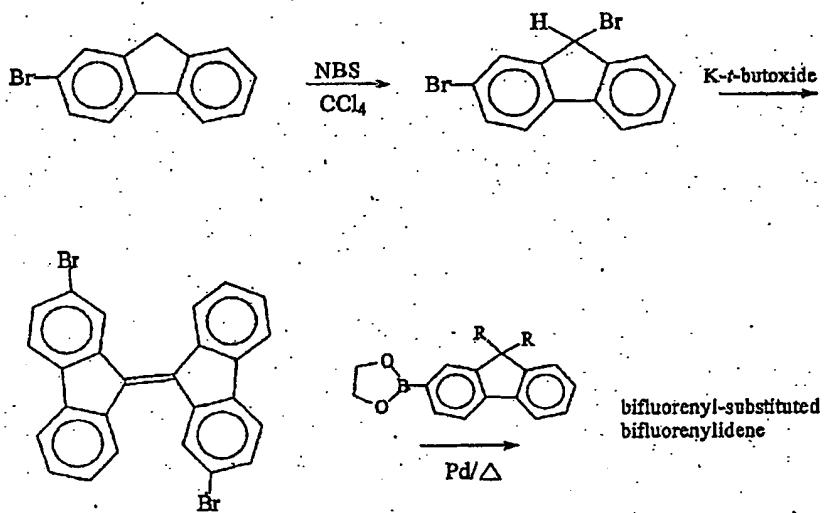


Similarly, trifluorenylbenzenes can be prepared by reacting a tribromobenzene with the 2-(1,3,2-dioxaborolan-2-yl)-9,9-dibutylfluorene. Preferred trifluorenylbenzenes include 1,3,5-tri(9,9-disubstitutedfluorenyl)benzene, where R is previously defined.

An example of a bi fluorenyl-substituted bi fluorenylidene is illustrated by the  
10 following structure:



where R is previously defined. This bifluorenylidene can be prepared as illustrated by the following reaction sequence:



5 where R is as previously defined, preferably each R is independently C<sub>4</sub>-C<sub>12</sub>-alkyl, more preferably C<sub>4</sub>-C<sub>8</sub>-alkyl.

The luminescent polymer and the viscosity modifier are advantageously combined with a sufficient amount of solvent to make an ink. The amount of solvent varies depending upon the solvent itself and the application, but is generally used at a 10 concentration of at least 80 weight percent, more preferably at least 90 weight percent, and most preferably at least 95 weight percent, based on the weight of the luminescent polymer, the modifier, and the solvent.

Examples of suitable solvents for the polymer and the modifier include benzene; mono-, di- and trialkylbenzenes including xylenes, mesitylene, toluene, *n*-propylbenzene, *n*-butylbenzene, *n*-pentylbenzene, *n*-hexylbenzene, cyclohexylbenzene, diethylbenzene, dodecylbenzene, and *n*-pentylbenzene; furans including tetrahydrofuran and 2,3-5 benzfuran; tetralin (tetrahydronaphthalene); cumene; *cis*-and *trans*-decalin (decahydronaphthalene); durene; chloroform; limonene; alkoxybenzenes including anisole, and methyl anisoles; alkyl benzoates including methyl benzoate; biphenyls including isopropyl biphenyl; pyrrolidinones including cyclohexylpyrrolidinone; imidazoles including dimethylimidazolinone; and combinations thereof. More preferred 10 solvents include toluene, cyclohexylbenzene, xylenes, mesitylene, tetralin, methyl benzoate, isopropyl biphenyl, and anisole, and combinations thereof.

In a typical application, the ink formulation can be deposited on a substrate such as indium-tin-oxide (ITO) glass having a hole transporting material disposed thereon. The solvent is then evaporated, whereupon the ink forms a thin amorphous film of the viscosity 15 modified luminescent polymer. Significantly, the presence of the viscosity modifier provides a way to tune viscosity without affecting the critical property of film thickness. The film is used as an active layer in an organic light-emitting diode (OLED), which can be used to make self-emissive flat panel displays.

The following examples are for illustrative purposes only and is not intended to 20 limit the scope of the invention.

#### Examples 1-4 – Preparation of Formulation of a Viscosity Modified Polymer

##### A. Preparation of 1,3,5-Tris(9,9-dibutylfluorenyl)benzene

1,3,5-Tribromobenzene (3.12 g, 9.92 mmol), 2-(1,3,2-dioxaborolan-2-yl)-9,9-dibutylfluorene (12.10 g 34.72 mmol), and Aliquat 336 (1.5 g) were dissolved in 125 mL 25 of toluene and added to a 250-mL 3-necked flask equipped with an overhead stirrer and a reflux condenser connected to a nitrogen line. An aqueous Na<sub>2</sub>CO<sub>3</sub> solution (2M, 30 mL) was added to the mixture and the flask was purged with nitrogen for 10 minutes. Pd(PPh<sub>3</sub>)<sub>4</sub> (0.2 g, 0.5 mol percent) was added to the mixture, which was then heated to 95 °C with stirring overnight. A solution of diethyldithiocarbamic acid sodium salt trihydrate 30 (5 percent, 200 mL) was added and the mixture was heated at 80 °C for 16 hours. The

aqueous layer was removed and the organic layer was washed with warm, dilute acetic acid solution (2 percent, 3 x 300 mL) followed by warm water (1 x 300 mL), and finally dried with MgSO<sub>4</sub>. The toluene was removed *in vacuo* to yield the crude product, which was purified by column chromatography on silica gel with hexanes/methylene chloride as eluent to yield 7 g (78 percent) of 1,3,5-tris(9,9-dibutylfluorenyl)benzene as a white solid. HPLC showed that the product was >99 percent pure. The 1,2,4-tris(9,9-dibutylfluorenyl)benzene isomer was made essentially as described above except that 1,2,4-tribromobenzene was used as a starting material instead of the 1,3,5 isomer. Each of these isomers was used as a viscosity modifier as described in Part B.

10 B. Preparation of the Ink Formulation

The electroluminescent polymer, viscosity modifier and solvent are combined in a single vessel and mixed to obtain a homogeneous solution or ink. The following Table shows the concentration of the polymer and the modifier in the solvent. In each case, the solvent is a mixture of xylene isomers and ethylbenzene (obtained by J.T. Baker as VLSI grade mixed xylenes and analyzed as *m*-xylene, 40-65 percent; *o*-xylene, 15-20 percent; *p*-xylene <20 percent; ethyl benzene, 15-25 percent) at 98 percent wt/wt based on the total weight of the solvent, the polymer, and the modifier. Polymer 1304 refers to LUMATION<sup>\*</sup> 1304 green light emitting polymer (a trademark of The Dow Chemical Company) and polymer 1100 refers to LUMATION<sup>\*</sup> 1100 red light emitting polymer, both available from The Dow Chemical Company. VM1 refers to 1,2,4-tris(9,9-dibutylfluorenylbenzene) and VM2 refers to 1,3,5-tris(9,9-dibutylfluorenylbenzene). Examples 1 and 4 are comparative examples and, therefore, not within the scope of the blend of the present invention. These comparative examples are included to demonstrate that the viscosity modifier does not substantially alter the wavelength emission maximum of the blend as compared to that of the pure polymer. Furthermore, the data indicate that presence of the modifier enhances the efficiency of the device.

Table

Example #	Polymer (wt/wt%)	Viscosity Modifier (wt/wt%)	Solution Viscosity (cPs)	EL Emission max (nm)	Device Efficiency @ 1000 Cd/m <sup>2</sup>
1 (comp. ex.)	1304 (2%)	none (0%)	16.02	536	6.34
2	1304 (1.5%)	VM1 (0.5%)	8.78	532	6.68
3	1304 (1%)	VM1 (1%)	4.18	532	6.82
4 (comp. ex.)	1100 (2%)	none (0%)		644	0.738
5	1100 (1%)	VM2 (1%)		640	0.790

WHAT IS CLAIMED IS:

1. A composition comprising a blend of
  - a) a luminescent polymer having a weight average molecular weight ( $M_w$ ) of at least 20,000 and
  - 5 b) a viscosity modifier that
    - 1) is a solid at room temperature;
    - 2) has a  $M_w$  of less than 5000;
    - 3) has a luminescent emission maximum of 350 to 480 nm;
    - 4) does not substantially diminish charge transporting properties of the 10 combination of the modifier and the polymer; and
    - 5) contains no exocyclic conjugated double bonds;
- wherein the modifier and its concentration in the blend are selected so that the blend exhibits a luminescent emission maximum that is within 20 nm of the luminescent emission maximum of the polymer alone.
- 15 2. The composition of Claim 1 wherein the viscosity modifier does not contain any O, N, or S atoms with delocalized unshared pairs of electrons.
3. The composition of either of Claims 1 or 2 which further includes a solvent for the luminescent polymer and the viscosity modifier selected from the group consisting of toluene, cyclohexylbenzene, xylenes, mesitylene, tetralin, decalin, methyl benzoate, isopropyl 20 biphenyl, and anisole, and combinations thereof.
4. The composition of Claim any of Claims 1 to 3 wherein the luminescent emission maximum of the blend is within 10 nm of the luminescent emission maximum of the polymer.

5. The composition of any of Claims 1 to 4 wherein the viscosity modifier is selected from the group consisting of mono-, bis-, and tris-9,9-disubstituted fluorenes; fluorenylidenes, and trifluorenylbenzenes.

6. The composition of Claim 5 wherein the viscosity modifier is selected from the group consisting of 1,2,4-tris(9,9-bisbutylfluorene)benzene and 1,3,5-tris(9,9-bisbutylfluorene)benzene.

7. The composition of any of Claims 1 to 6 wherein the polymer contains structural units of monomers selected from the group consisting of 9,9-disubstituted fluorene, 2-methoxyl-5-(2'-ethylhexyl)phenylenevinylene; 2,5-diocyloxy-1,4-phenylenevinylene; 2-silyl-1,4-phenylenevinylene; 2,5-disilyl-1,4-phenylenevinylene; 3-alkylthiophene; 2,5-dialkyl-1,4-phenylenevinylene; 2,5-dialkoxyphenylene; 2,5-dialkylphenylene; and N-vinylcarbazole.

8. The composition of any of Claims 1 to 7 wherein the polymer contains structural units of a first monomer selected from the group consisting of 9,9-dialkylfluorene, 9,9-diarylfluorene, and 9,9-aralkylfluorene, and a second monomer selected from the group consisting of substituted and unsubstituted thiophenes, dithiophenes, benzodithiazoles, oxazoles, oxadiazoles, benzoxazoles, dibenzofurans, benzothiophenes, dibenzothiophenes, dibenzosiloles, benzidines, diarylamines, triarylamines, benzenes, biphenylenes, naphthalenes, anthracenes, phenanthrenes, styrenes, quinolines, and stilbenes.

9. A composition comprising a blend of

20 a) a luminescent polymer having a weight average molecular weight ( $M_w$ ) of at least 20,000 and

b) a viscosity modifier that

1) is a solid at room temperature;

2) has a  $M_w$  of less than 5000;

25 3) has a luminescent emission maximum of from 350 to 480 nm;

- 4) does not substantially diminish charge transporting properties of the combination of the modifier and the polymer; and
- 5) contains no exocyclic conjugated double bonds; and

5

- c) a solvent for the luminescent polymer and the viscosity modifier selected from the group consisting of toluene, cyclohexylbenzene, xylenes, mesitylene, tetralin, decalin, methyl benzoate, isopropyl biphenyl, and anisole.

wherein the relative amounts of the modifier and the polymeric compound in the blend are such that the blend exhibits a luminescent emission maximum that is within 10 nm of the luminescent emission maximum of the polymer alone.

10 10. The composition of Claim 9 wherein the luminescent polymer includes structural units of a 9,9-disubstituted fluorene and a monomer selected from the group consisting of benzidines, dithiophenes, and benzothiadiazoles, wherein the structural units of the 9,9-disubstituted fluorene include substituents at the 9,9-position selected from the group consisting of C<sub>4</sub>-C<sub>12</sub> alkyl, C<sub>4</sub>-C<sub>12</sub>-alkoxy, substituted phenyl, unsubstituted phenyl, 15 substituted biphenyl, and unsubstituted biphenyl.

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US2004/015505

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 C09K11/06 H01L51/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 C09K H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, BEILSTEIN Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,A	ZHOU X-H, YAN J-C, PEI J: ORGANIC LETTERS, vol. 5, no. 19, 18 September 2003 (2003-09-18), pages 3543-3546, XP002299561 the whole document	6

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the International filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the International filing date but later than the priority date claimed

- \*T\* later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*V\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- \*&\* document member of the same patent family

Date of the actual completion of the International search	Date of mailing of the International search report
6 October 2004	21/10/2004
Name and mailing address of the ISA European Patent Office, P.B. 5518 Patenttaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax (+31-70) 340-3016	Authorized officer Saldamli, S

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box II.2

Claims Nos.: 1-5, 7-10

Present claims 1-10 relate to an extremely large number of possible compounds. Support within the meaning of Article 6 PCT and disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the compounds claimed. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been carried out for only claim 6 which appear to be supported by the examples.

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2004/015505

### Box II Observations where certain claims were found unsearchable (Continuation of Item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: 1-5, 7-10 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box III Observations where unity of invention is lacking (Continuation of Item 3 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

The additional search fees were accompanied by the applicant's protest.  
 No protest accompanied the payment of additional search fees.

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**